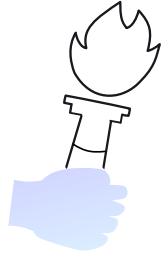


Trailblazing Research for Tomorrow



Our staff of talented researchers is continuously informing and collaborating with thought leaders across the industry, providing the latest insights on ethical trends and topics in business ethics.

Trust and Financial Services

- An Analysis of the State of Stakeholder Trust in the Financial Services Industry (April 2021)*

**Part 1 of a multi-phase research initiative*

AI and Ethics

- AI-Enabled Underwriting Brings New Challenges for Life Insurance: Policy and Regulatory Considerations (January 2022)
- AI, Ethics, and Life Insurance: Balancing Innovation With Access (March 2021)

Enhancing Diversity

- How COVID-19 Has Impacted The Racial Wealth Gap (August 2021)
- Women in Insurance Sales: Challenges and Opportunities (December 2020)

Read the research at TheAmericanCollege.edu/Knowledge-Hub/Ethics-in-Financial-Services

Your *Ethically* in Financial Services

Ethically is the Center for Ethics in Financial Services' monthly newsletter, aiming to inform readers about trends related to ethics in financial services and how financial services industry leaders can better navigate evolving challenges. The newsletter is a free service, so subscribe now and share with your peers by emailing Ethics@TheAmericanCollege.edu.

A Legacy of Industry Leadership

Founded in 1927 by financial services education pioneer Dr. Solomon Huebner, The American College of Financial Services and its Centers of Excellence are dedicated to the pursuit of knowledge that aids the development of the industry and our communities. The College's mission is threefold: to provide applied financial knowledge and education; to promote life-long learning; and to advocate for ethical standards for the benefit of society.

VISIT TheAmericanCollege.edu/Ethics
EMAIL Ethics@TheAmericanCollege.edu
CALL 610-526-1356



The American College of Financial Services
630 Allendale Road, Suite 400
King of Prussia, PA 19406



Your Trusted Resource on Ethics in Financial Services

TheAmericanCollege.edu/Ethics



Advancing Ethical Decision-Making in Financial Services

As the only ethics center within an academic institution focusing exclusively on the financial services industry, the American College Cary M. Maguire Center for Ethics in Financial Services promotes ethical behavior by offering research and programs that go beyond the rules of market conduct to help individuals and companies be more sensitive to ethical issues and think more critically about solutions for the benefit of society.

OUR MISSION:

To raise the level of ethical behavior in the financial services industry.

Meet Our Staff:

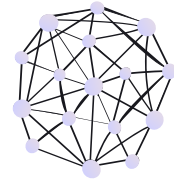


Azish Filabi, JD, MA
Executive Director, Maguire Center for Ethics; Charles Lamont Post Chair of Business Ethics and Associate Professor



Domarina Oshana, PhD
Director of Research and Operations

A Network of Ethics-Focused Leaders

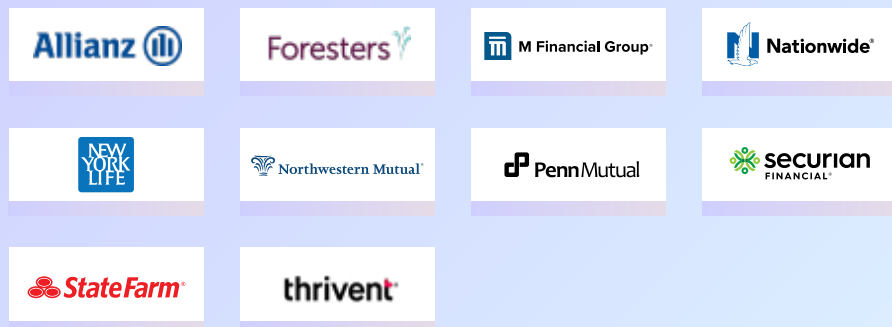


The Center's Alliance for Ethics is a community of business leaders from across the financial services industry interested in getting the latest research and collaborating to overcome challenges by attending exclusive Alliance-only events.

BENEFITS INCLUDE:

- ✓ Recognition as a leader focused on ethical decision making
- ✓ Expansive network of senior leaders from financial services firms
- ✓ Increased visibility as a guest speaker in webcasts and other events
- ✓ Brand awareness with company logo featured in newsletter and on website
- ✓ Exclusive invitations and access to ethics workshops, research participation, and other events and initiatives

The Alliance for Ethics in Financial Services



The Forum on Ethical Leadership

The Center's Forum on Ethical Leadership in Financial Services, founded by James A. and Linda R. Mitchell in 2001, brings together practitioners from financial services companies and business ethicists from academia to engage in meaningful conversation about ethics in the industry.

This annual event features discussions of key issues confronting the financial services industry, along with an examination of practical ethical dilemmas encountered by executives during their careers and questions raised by business ethicists from major colleges and universities around the country.

“The Center’s team continues to impress. The challenges we’re facing are the same challenges others are facing in the industry, and it’s comforting because you’re not alone. The academic perspective on how to face these issues and create systems to make changes has been especially impactful.”

NOREEN BEEMAN,
BOARD OF DIRECTORS VICE-CHAIR,
ORION ADVISOR SOLUTIONS

“In this forum, no one leaves any topic on the table. There’s an authenticity and candor to discussions where folks aren’t holding anything back or worrying about judgment. I feel privileged to be a part of these discussions, and I’m learning so much from my peers in the financial services world.”

MATT BERMAN,
PRESIDENT,
FORESTERS FINANCIAL

Fellows and Scholars

The Center for Ethics in Financial Services provides fellowship opportunities to explore diverse research topics at the intersection of ethics and financial services. Our signature fellowship is the Maguire Fellowship in Applied Ethics: a program supporting an individual(s) working on meaningful research focused on the financial services industry. Research conducted by our fellows and scholars is disseminated in multiple forums and formats, including through our events, in industry-facing publications, and in peer-reviewed journals.

Current Fellows and Scholars



Sophia Duffy, JD, CPA, AEP®
Scholar-in-Residence



Dr. Catarina Bulgarella
Organizational Psychologist
and Researcher



Douglas K. Chia
President, Soundboard
Governance, LLC

Past Fellows



Dr. Kevin Gibson
Professor Emeritus of Philosophy and
Management, Marquette University



CiAuna Heard
Assistant Professor,
Santa Clara University



Katherine Mielitz, AFC®
Financial Literacy Educator



Dr. Kamal Smimou
Associate Professor of Finance,
Ontario Tech University

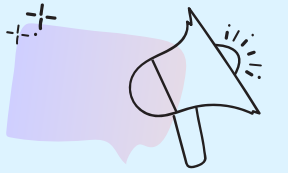


Derek Tharp
Author and Financial Planner



Dr. Anthony Catanach, Jr.
Associate Professor, Villanova
University School of Business

In the News



As a team of experts dedicated to elevating discussions about ethics in the financial services industry and society, the Center for Ethics in Financial Services' team is often featured in the media, with insights and commentaries on current events published with a number of influential media outlets.

Kiplinger

ESG is Not "Ethical Investing." And That's OK

By Azish Filabi, JD

FinancialPlanning

How Big Data Risks "Proxy Discrimination" in Financial Services

By Azish Filabi, JD, and Sophia Duffy, JD, CPA, AEP®



Trust: A Major Factor Driving Consumer Decisions

By Domarina Oshana, PhD

FORTUNE

Businesses Shouldn't Do Good for the Sole Purpose of Doing Well

By Azish Filabi, JD

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