

# Responsible AI Executive Forum

*Advancing Responsible AI Leadership in Financial Services*

Artificial intelligence is embedded across financial services — shaping client experiences, advisor workflows, underwriting, risk management, compliance, and operational decision-making. **As AI systems become more autonomous and influential, the question facing industry leaders has shifted from *whether* to use AI to *how* to innovate with it responsibly.**

Institutions are now required to anticipate risk, align governance with evolving regulatory expectations, and remain accountable for AI-driven decisions that affect clients and financial professionals, while maintaining institutional trust. Yet many organizations lack sustained, peer-based spaces to work through these challenges within real operating conditions.

## A Peer-Based Forum for Real-World Governance

**The Responsible AI Executive Forum is a quarterly, executive-level program that supports responsible AI adoption across financial services.** Convened by the *American College Maguire Center for Ethics in Financial Services*, the Forum brings together senior industry leaders for an ongoing series of invite-only, practitioner-led discussions focused on applied governance, gauging AI maturity relative to peers, and shared learning.

**Conversations are grounded in operating realities** — where client impact, regulatory scrutiny, and reputational risk intersect. Rather than episodic events or abstract frameworks, the program emphasizes continuity, enabling participants to learn from one another as AI technologies, expectations, and oversight models evolve.

## A Distinct Approach

### Peer-Based Dialogue

Rooted in financial services realities, not generic AI frameworks

### Applied Focus

Emphasis on governance, responsible innovation, and accountability in practice

### Sustained Engagement

Continuity across cycles as AI technologies and regulations evolve

### Trust & Discretion

Closed-door environment enabling candid discussion

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*The Forum provides a dedicated space for leaders to develop a shared understanding and AI fluency — strengthening institutional judgement, governance confidence, and ethical leadership.*

## Built for Financial Services Leaders

The Forum is designed for financial services leaders and organizations navigating AI adoption and governance, particularly those responsible for:

- Risk, compliance, legal, and regulatory oversight
- Privacy, ethics, and governance
- Data, technology, and AI development
- Operations, innovation, and client experience

Participants represent organizations with direct accountability for managing AI systems that influence clients, advisors, institutional trust, and regulatory exposure.

## Participant Benefits

The Forum provides a trusted, practitioner-led environment that supports both near-term decision-making and long-term governance maturity. Through participation, organizations gain:



### Strategic Insight

Gauging AI governance maturity relative to peers



### Regulatory Readiness

Practical perspectives on emerging regulatory expectations and governance norms



### Organizational Alignment

Stronger cross-functional collaboration and shared AI fluency across leadership teams



### Risk Mitigation

Shared knowledge on strategies for reduced operational, compliance, and reputational risks as AI adoption expands



### Industry Leadership

Opportunities for enhanced institutional credibility through proactive responsible AI practices

Participants leave better equipped to translate responsible AI from principle into practice — supporting innovation that is responsible by design, resilient in execution, and adaptive over time.

## Program Structure

The Forum operates as part of the **Alliance for Ethics in Financial Services**, supporting continuity, depth of engagement, and sustained peer learning. Participation is intentionally selective to preserve trust, relevance, and the quality of dialogue.

Together, these elements create a trusted, practitioner-led environment where financial services leaders can engage candidly, learn from peers, and strengthen their approach to responsible AI governance.

### At-A-Glance

- **Cadence:** Quarterly
- **Format:** Live, virtual sessions
- **Audience:** Executive-level financial services leaders
- **Structure:** Invite-only, peer discussion with expert insights
- **Session Length:** 90 minutes

Participation is by invitation only,  
with limited capacity.

To learn more or inquire about participation,  
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